

# IRA Transfer and Direct Rollover Form

This form may be used to effect a direct transfer to a First Eagle Funds Individual Retirement Account (IRA) from an IRA with another custodian or a direct rollover from a Qualified Plan. If you do not have an existing First Eagle Funds IRA, an IRA Application must accompany this form. If you have any questions or to ensure that all legal requirements are met, please call Shareholder Services at 800-334-2143.

All information must be supplied.	1. Participant Information	
	First Name MI	Last Name
	Social Security Number  Street Address	Date of Birth (MM/DD/YYYY)
	City	State Zip Code
	Fund Name	Account Number (if new account, specify "New Account")
Provide information on the Custodian/Trustee/	2. Information About Your Current IRA or Qualifie	d Plan
Financial Institution where your assets are currently held.	Name of Current Custodian/Trustee	Name of Current Financial Institution
	Street Address	
	City	State Zip Code
	Daytime Telephone Number	Account Number
	3. Type of Account Transfer/Rollover	
Select one of the following.	☐ Traditional IRA to Traditional IRA	
	☐ Traditional IRA to Roth IRA	
	☐ Rollover IRA to Rollover IRA	
	☐ Inherited IRA to Inherited IRA ☐ Date (MM	1/DD/YYYY) []
	☐ Roth IRA to Roth IRA Date account opened	
	☐ Rollover from my Employer's Qualified Plan (401(k), 40	03(b)) to a Traditional IRA
	☐ SEP-IRA to SEP IRA or Traditional IRA	
	SAR-SEP IRA to SAR-SEP IRA or Traditional IRA (for n	lans established prior to January 1, 1997)

Transfer assets from the above account to UMB Bank, n.a. The transfer should be in cash according to the following instructions.

**NOTE**: Please include a copy of your most recent account statement.

amount as follows. If this is a new account, please complete an IRA Application. Please see the current First Eagle Funds Prospectuses for the different sales

Invest the transferred

charges and expenses. You must select a share class

**NOTE:** We encourage you to consult your accountant or tax adviser.

# 4. Instructions to Current Custodian/Trustee/Financial Institution

$\square$ Liquidate the total amount of my account.	Approxim	ate value: \$	s L				
□ \$ OR			%	of my account.			
If you are liquidating a certificate of deposit I	IRA, selec	t one of the	following	·			
☐ Liquidate prior to maturity date. I am awa	re that I n	nay incur a	penalty fo	or early withdra	awal.		
☐ Liquidate at maturity. (Maturity date must the date of this request, you may want to con							
5. Investment Instructions							
First Eagle Global Fund			%	Share Class	ПΑ	□с	
First Eagle Overseas Fund			%	Share Class	ПΑ	□с	$\Box$ I
First Eagle U.S. Fund			%	Share Class	ПΑ	□с	$\Box$ I
First Eagle Gold Fund			%	Share Class	ПΑ	$\Box$ C	
First Eagle Rising Dividend Fund			%	Share Class	ПΑ	$\Box$ C	□I
First Eagle High Yield Municipal Fund			%	Share Class	ПΑ	$\Box$ C	$\Box$ I
First Eagle Global Income Builder			%	Share Class	ПΑ	$\Box$ C	□I
First Eagle Small Cap Opportunity Fund			%	Share Class	ПΑ	$\Box$ C	
First Eagle Global Real Assets Fund			%	Share Class	ПΑ		$\Box$ I
First Eagle U.S. Smid Cap Opportunity Fund			%	Share Class	ПΑ		
First Eagle Short Duration High Yield Municip	al Fund		%	Share Class	ПΑ	$\Box$ C	□I
First Eagle Core Plus Municipal Fund			%	Share Class	ПΑ	$\Box$ C	
Total Investment			100 %				
6. Federal Income Tax Withholding							
If you are rolling over your assets from a Quabove is required to withhold 10% of the taxa						tion nai	med
$\square$ I elect not to have federal income tax with	held from	my rollove	٢				
☐ Please withhold federal income tax at a ra	ate of		% from	my rollover.			
7. Reduced Sales Charges							

make, you must notify us that a Letter of Intent is on file, along with the account numbers associated with the letter. You must list all accounts in Section B to ensure they are linked appropriately for future breakpoints.

For each investment you

for future breakpoints. Shares you currently own may be used toward completing the Letter of Intent. Please refer to the First Eagle Funds Prospectus for additional information.

## A. Letter of Intention

If you intend to purchase additional shares of any Eligible Fund in the next 13 months you may qualify for reduced sales charges.

Under the terms of the prospectus, I agree to accumulate in a 13-month period an amount equal to or in excess of:

□ \$25,000*	□ \$50,000*	□ \$100,000
□ \$250,000**	\$500,000	☐ \$1,000,000

☐ Please link the Letter of Intent to all existing eligible accounts on file.

<sup>\*</sup> The initial breakpoint for the First Eagle High Yield Municipal Fund and First Eagle Short Duration High Yield Municipal Fund is \$100,000. Please read the First Eagle Funds Prospectus for additional information.

<sup>\*\*</sup> There will be no sales charge for purchases of \$250,000 or more in the First Eagle High Yield Municipal Fund, First Eagle Short Duration High Yield Municipal Fund and First Eagle Global Income Builder Fund.

Linking to a brokerage account will require a copy of an account statement, showing current investment in First Eagle Funds. Each future subsequent purchase will also require a copy of up-to-date account statements.

### 7. Reduced Sales Charges (continued)

#### **B. Rights of Accumulation**

You may purchase Class A shares at a reduced sales charge by combining the amount being invested with the current value of any Eligible Funds you already own.

Please list all First Eagle accounts related to the new account you are establishing if you wish to link them for Rights of Accumulation or a Letter of Intent. (Accounts must have the same primary address or tax identification number.) Refer to the First Eagle Funds Prospectus for additional information.

Account Number	Account Number
Account Number	Account Number
Account Owner	Account Owner
Relationship	Relationship

## 8. Custodian Acceptance

Per the attached signed letter, UMB Bank, n.a. agrees to accept custodianship and the transfer described on this form for deposit to a First Eagle Funds IRA established on behalf of the named individual. Please liquidate and transfer/rollover the assets as indicated in Sections 2 through 4 of this form.

UMB Bank, n.a.

## 9. Signatures and Authorization

The undersigned certifies to the current custodian that a successor Individual Retirement Account has been established meeting the requirements of Internal Revenue Code Section 408(a), 408A, or 408(k) to which assets will be transferred, and certifies to UMB that the IRA from which the assets are being transferred meets the requirements of Internal Revenue Code Section 408(a), 408A, or 408(k). I understand that this transfer of assets/rollover is to be executed as a fiduciary-to-fiduciary transfer so as not to put me in actual or constructive receipt of all or any part of transferred assets. We recommend that you contact your resigning custodian to determine their transfer and signature requirements. A Medallion Signature Guarantee may be required.

A Medallion Signature Guarantee assures that a signature is genuine and protects investors from unauthorized requests. A Medallion Signature Guarantee may be obtained from an officer of a commercial bank or trust company, savings and loan or savings bank, or a member firm of a domestic stock exchange. Notarization by a notary public is **not** acceptable.

In order to complete your request, sign your name exactly as it appears on your account (if signing on behalf of the account owner, please include your designated title).

By signing below, the owner of the above referenced account hereby authorizes the transfer/rollover specified in this form.

9. Signatures and Authorization (continued)		
Account Owner's Signature		
Title (if applicable)		
Date (MM/DD/YYYY)  Affix Medallion Signature Guarantee stamp.		
Name and Title of Guarantor		

If you have any questions or to ensure that all legal requirements are met, please call Shareholder Services at 800-334-2143.

# 10. Mail the completed form to:

Regular Mail:

First Eagle Funds P.O. Box 219324 Kansas City, MO 64121-9324 Overnight Mail:

First Eagle Funds 801 Pennsylvania Avenue Suite 219324 Kansas City, MO 64105-1307

First Eagle Funds are offered by **FEF Distributors, LLC**, a subsidiary of First Eagle Investment Management, LLC, which provides advisory services.

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